

# Advanced QFT @ EPFL 2024

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Let's study now an application of the wavefunction polarizations we have determined in L2. Since they are the most general overlaps,  $\langle 0 | \varphi_A | \kappa \lambda \rangle_{j,m}$ , and  $\{ | \kappa \lambda \rangle_{j,m} \}$  form a complete set — providing we sum over all possible quantum numbers, including the mass —

$$(1) \quad \mathbb{1} = \sum_q \int_0^\infty dm^2 \sum_{j=0}^\infty \sum_{\sigma=-j}^j \int \frac{d^3 \kappa}{(2\pi)^3} \frac{1}{2\kappa_m^0} | \kappa \sigma, q \rangle_{j,m} \langle \kappa \sigma, q |_{j,m}$$

quant. numbers other than  $m^2, j, \kappa, \sigma$  to uniquely specify the state  
 $\kappa_m^0 \equiv \sqrt{\kappa^2 + m^2}$   
 $(\int d^3 \kappa \equiv \int \frac{d^3 \kappa}{(2\pi)^3} \frac{1}{2\kappa_m^0})$  (not only 1-particle states)  
 Poincaré irreps

we are able to obtain the general structure of 2-pt functions in terms of the particles that are created/destroyed by local operators, schematically

$$\langle 0 | \varphi_A^{(j_-, j_-)}(x) \varphi_B^{(j_+, j_+)}(y) | 0 \rangle = \int \sum \underbrace{\langle 0 | \varphi_A^{(j_-, j_-)}(x) | \kappa \sigma, q \rangle_{j,m}}_{\text{known up to } z's} \underbrace{\langle \kappa \sigma, q | \varphi_B^{(j_+, j_+)}(y) | 0 \rangle}_{\text{known up to } z's}$$

This way of expressing 2-pt functions in terms of the spectrum of the theory goes under the name of **Källén-Lehmann spectral decomposition**.

## Comment on normalization:

We are working with the relativistic-invariant normalization

$$(2) \quad \langle \kappa \sigma, q | p \sigma', q' \rangle_{j,m} = (2\pi)^4 \delta^3(\vec{\kappa} - \vec{p}) \frac{1}{2\kappa_m^0} \delta_{\sigma\sigma'} \delta_{m^2 m'^2} \delta_{qq'}$$

Moreover, the resolution of the identity in (1) can be recast as

$$(3) \quad \mathbb{1} = \sum_{q, j, \sigma} \int_0^\infty dm^2 \int \frac{d^4 \kappa}{(2\pi)^4} \underbrace{2\pi \delta(\kappa^2 - m^2) \theta(\kappa^0)}_{d\pi_m^4} \longleftrightarrow \mathbb{1} = \sum_{q, j, \sigma} \int \frac{d^4 \kappa}{(2\pi)^4} \theta(\kappa^0) \theta(\kappa^2)$$

"4-body phase space"

Let's illustrate the spectral decomposition with a fully worked-out example, easily generalizable to the general case:

1/3 p2

## Källén-Lehmann Spectral Decomposition: Vectors

$$(1) \quad \langle 0 | J_\mu(x) J_\nu^\dagger(y) | 0 \rangle = \langle 0 | J_\mu(x) \mathbb{1} J_\nu^\dagger(y) | 0 \rangle$$

$$= \sum_q \int d^4m^2 \sum_{j, \sigma} \int d\pi_m^{(j)} e^{-ik(x-y)} \langle 0 | J_\mu | k, \sigma, q \rangle_{j, m} \langle k, \sigma, q | J_\nu^\dagger | 0 \rangle_{j, m}$$

Let's assume the spectrum is gapped, no massless state (except for  $|0\rangle$ ) has overlap with  $J_\mu$ 's, so that we can use everything we have seen so far.

The sum over  $j$  is actually restricted to just two terms:  $j=0$ ,  $j=1$  since  $A_\mu = 0 \oplus 1$ :

$$(2) \quad \langle 0 | J_\mu(x) J_\nu^\dagger(y) | 0 \rangle = \sum_q \int_0^\infty d^4m^2 \int d\pi_m^{(j)} e^{-ik(x-y)} \sum_{j=0}^1 \sum_{\sigma=-j}^j \langle 0 | J_\mu | k, \sigma, q \rangle_{j, m} \langle k, \sigma, q | J_\nu^\dagger | 0 \rangle_{j, m}$$

$$= \sum_q \int_0^\infty d^4m^2 \int d\pi_m^{(j)} e^{-ik(x-y)} \left\{ \sum_{j=0}^1 \frac{|\tilde{z}_j(m^2, q)|^2}{m^2} \kappa_\mu \kappa_\nu + \sum_\sigma \sum_{j=1}^1 \frac{|\tilde{z}_j(m^2, q)|^2}{m^2} \varepsilon_\mu^\sigma(k) \varepsilon_\nu^{\sigma*}(k) \right\}$$

$$= \int_0^\infty d^4m^2 \int d\pi_m^{(j)} e^{-ik(x-y)} \left\{ \sum_q \frac{|\tilde{z}_j(m^2, q)|^2}{m^2} \kappa_\mu \kappa_\nu + \sum_q \frac{|\tilde{z}_j(m^2, q)|^2}{m^2} \sum_\sigma \varepsilon_\mu^\sigma(k) \varepsilon_\nu^{\sigma*}(k) \right\}$$

$$= \int_0^\infty d^4m^2 \int d\pi_m^{(j)} e^{-ik(x-y)} \left\{ \rho_{j=0}(m^2) \kappa_\mu \kappa_\nu + \rho_{j=1}(m^2) (-m^2 \eta_{\mu\nu} + \kappa_\mu \kappa_\nu) \right\}$$

where we have defined the spectral densities  $\rho_j(m^2)$ :

$$(3) \quad \rho_{j=0}(m^2) \equiv \sum_q \frac{|\tilde{z}_j(m^2, q)|^2}{m^2} \geq 0, \quad \rho_{j=1}(m^2) = \sum_q \frac{|\tilde{z}_j(m^2, q)|^2}{m^2} \geq 0$$

In summary,

$$(4) \quad \langle 0 | J_\mu(x) J_\nu^\dagger(y) | 0 \rangle = \int d^4k \int d\pi_m^{(1)}(k) e^{-ik(x-y)} \left\{ \underbrace{p(m^2)}_{j=0} \underbrace{\kappa_\mu \kappa_\nu}_{\text{kinematical}} + \underbrace{f_j(m^2)}_{j=1} \underbrace{(-m^2 g_{\mu\nu} + \kappa_\mu \kappa_\nu)}_{\text{kinematical}} \right\}$$

Källén-Lehmann I

Remarks:

- these spectral densities are positive,  $f_{j=0,1}(m^2) \geq 0$ . (For  $\langle J_\mu J_\nu \rangle$  as opposed to  $\langle J_\mu J_\nu^\dagger \rangle$ , when  $J_\mu^\dagger \neq J_\mu$ , it would not need to be positive)
- The tensor structure is purely kinematical/geometrical (Boosted/rotated Clebsch-Gordan squared), in this example they form literally the spin-projectors  $\pi_{\mu\nu}^{(j=0)}$  and  $-\pi_{\mu\nu}^{(j=1)}$ . The dynamical information about the spectrum and coupling strength is in the  $f_j(m^2)$ .
- The 1-body phase space  $d\pi_m^{(1)}(k) = \frac{d^4k}{(2\pi)^4} 2\pi \delta(k^2 - m^2) \theta(k^0)$  puts all  $k^\mu$  on-shell,  $k^2 = m^2$ , so that we could have equally written it as

$$(5) \quad \langle 0 | J_\mu(x) J_\nu^\dagger(y) | 0 \rangle = \int d^4k \int d\pi_m^{(1)}(k) e^{-ik(x-y)} \left\{ \underbrace{p(m^2)}_{j=0} \underbrace{\kappa_\mu \kappa_\nu}_{\text{kinematical}} + \underbrace{f_j(m^2)}_{j=1} \underbrace{(-k^2 g_{\mu\nu} + \kappa_\mu \kappa_\nu)}_{\text{kinematical}} \right\}$$

Källén-Lehmann I-bis

or even more explicitly, resolving the  $\delta(k^2 - m^2) \theta(k^0)$ :

$$(6) \quad \langle 0 | J_\mu(x) J_\nu^\dagger(y) | 0 \rangle = \int d^4k \int \frac{d^3k}{(2\pi)^3 2k_0^{(m)}} e^{-ik_m \cdot (x-y)} \left\{ \underbrace{p(m^2)}_{j=0} \underbrace{\kappa_\mu^{(m)} \kappa_\nu^{(m)}}_{\text{kinematical}} + \underbrace{f_j(m^2)}_{j=1} \underbrace{(-k_m^2 g_{\mu\nu} + \kappa_\mu^{(m)} \kappa_\nu^{(m)})}_{\text{kinematical}} \right\}$$

where the  $(m)$ -label in  $\kappa_\mu^{(m)}$  reminds that  $\kappa_0^{(m)} = \sqrt{\vec{k}^2 + m^2}$ , i.e.  $\kappa_\mu^{(m)} \kappa_\mu^{(m)} = m^2$

Q: what if  $J_\mu$  is conserved,  $\partial_\mu J^\mu = 0$ ?

$$\Rightarrow \partial_\mu \langle 0 | J^\mu(x) | \kappa \sigma \rangle_{j,m} \propto \kappa_\mu \left( \sum_{j=0}^1 f_j(m^2) \delta_0^j \kappa^\mu + \sum_{j=1}^1 f_j(m^2) \delta_1^j \epsilon^{\mu\sigma}(\kappa) \right)$$

$$\kappa_\mu \cdot \epsilon^{\mu\sigma} = 0 \quad \sum_{j=0}^1 f_j(m^2) \cdot m^2 = 0 \Rightarrow \sum_{j=0}^1 f_j(m^2) = 0 \quad \text{removes spin-0 mass states in this case}$$

Comment: same conclusion reached by working with (5).

Notable exception is when  $m=0$   $j=0$  state exist: in that

no  $j=0$  massive state created by  $J_\mu^\dagger | 0 \rangle$

case it's better reabsorb  $1/m$  factors in  $f_{j=0}(m^2)$  like done in (5) [L3/p4]

which holds also for the massless case  $\Rightarrow f_{j=1}(m^2) m^2 = 0$  has  $f_{j=1}(m^2) \propto \delta(m^2)$  as solution, corresponding to Goldstone Bosons —  $\langle 0 | J_\mu(0) | \kappa \rangle_{j=0} \propto \kappa_\mu$  — and spontaneous break.

### — Time-ordered Källén-Lehmann —

$$(7) \quad \langle 0 | T J_\mu(x) J_\nu^\dagger(y) | 0 \rangle = \theta(x^0 - y^0) \langle 0 | J_\mu(x) J_\nu^\dagger(y) | 0 \rangle + \theta(y^0 - x^0) \langle 0 | J_\nu^\dagger(y) J_\mu(x) | 0 \rangle$$

for which we need to repeat (5) for  $\langle 0 | J_\nu^\dagger(y) J_\mu(x) | 0 \rangle$

$$(8) \quad \langle 0 | J_\nu^\dagger(y) J_\mu(x) | 0 \rangle = \sum_q \int d^4m^2 \int d^4\pi(k) e^{+ik \cdot (x-y)} \sum_{j, \sigma} \langle 0 | J_\nu^\dagger(0) | \kappa, \sigma, q \rangle_{j, m} \langle \kappa, \sigma, q | J_\mu(0) | 0 \rangle_{j, m}$$

complex conjugate up to  $\mu \leftrightarrow \nu$

which are related to previous matrix elements by CPT-transformations

$$(9) \quad \begin{cases} U_{\text{CPT}} J_\mu(0) U_{\text{CPT}}^{-1} = \eta_{\text{CPT}}^J J_\mu(0) & U_{\text{CPT}}^{-1} J_\nu^\dagger(0) U_{\text{CPT}} = \eta_{\text{CPT}}^J J_\nu^\dagger(0) \\ U_{\text{CPT}} | \kappa, \sigma, q \rangle_{j, m} = \eta_{j, m}^{\text{CPT}} (-1)^{j-\sigma} | \kappa - \sigma, \bar{q} \rangle_{j, m} & U_{\text{CPT}}^{-1} | \kappa, \sigma, q \rangle_{j, m} = \eta_{j, m}^{\text{CPT}} (-1)^{j-\sigma} | \kappa - \sigma, \bar{q} \rangle_{j, m} \end{cases}$$

where the  $\eta$ 's are CPT-phases,  $|\eta|^2 = 1$ , (and it turns out  $\eta_{\text{CPT}}^J = -1$ )

$$(10) \quad \langle 0 | J_\nu^\dagger(0) | \kappa, \sigma, q \rangle_{j, m} \langle \kappa, \sigma, q | J_\mu(0) | 0 \rangle_{j, m} = \langle 0 | J_\nu(0) | \kappa - \sigma, \bar{q} \rangle_{j, m}^* \langle \kappa - \sigma, \bar{q} | J_\mu^\dagger(0) | 0 \rangle_{j, m}^*$$

and since  $q$ 's and  $\sigma$ 's are summed over in (8),  $\sum_\sigma \leftrightarrow \sum_{-\sigma}$   $\sum_q \leftrightarrow \sum_{\bar{q}}$ ,

$$(11) \quad \langle 0 | J_\nu^\dagger(y) J_\mu(x) | 0 \rangle = \int d^4m^2 \int d^4\pi(k) e^{+ik \cdot (x-y)} \left[ \sum_q \left| \frac{f_{j=0}(m^2, q)}{m^2} \right|^2 \kappa_\mu \kappa_\nu + \sum_q \left| \frac{f_{j=1}(m^2, q)}{m^2} \right|^2 (-\eta_{\mu\nu}^2 + \kappa_\mu \kappa_\nu) \right]$$

we get exactly the same spectral densities  $f_{j=0,1}^{(m^2)}$

$$(12) \quad \langle 0 | J_\nu^\dagger(y) J_\mu(x) | 0 \rangle = \int d^4m^2 \int d^4\pi(k) e^{+ik \cdot (x-y)} \left[ \rho_{j=0}^{(m^2)} \kappa_\mu \kappa_\nu + \rho_{j=1}^{(m^2)} (-\eta_{\mu\nu}^2 + \kappa_\mu \kappa_\nu) \right]$$



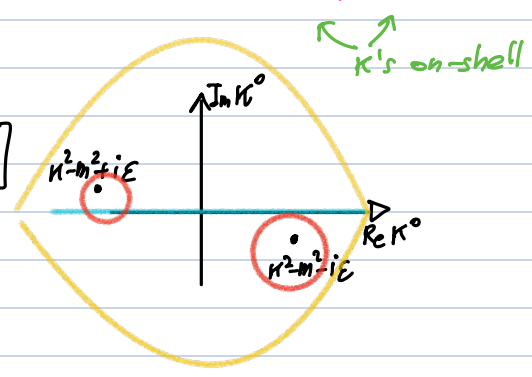
We can thus get the following expression for the time-ordered correlator:

$$(13) \langle 0 | T J_\mu(x) J_\nu(y) | 0 \rangle = \int_0^\infty dm^2 \int d\pi_m^{(1)}(k) \left( \theta(x^0 - y^0) e^{-ik(x-y)} + \theta(y^0 - x^0) e^{ik(x-y)} \right) \cdot \left[ \sum_{j=0} p_j(m^2) \eta_{\mu\nu} + \sum_{j=1} p_j(m^2) (-\eta_{\mu\nu} k^2 + k_\mu k_\nu) \right]$$

We can put it in a more convenient form by observing that 1<sup>st</sup> line is a sum (integral) over  $m^2$  of scalar field propagator, namely

$$(14) \int d\pi_m^{(1)}(k) \left( \right) [ ] = \int \frac{d^3 k}{(2\pi)^3} \frac{1}{2k_m^0} \left( \theta(x^0 - y^0) e^{-ik_m(x-y)} + \theta(y^0 - x^0) e^{ik_m(x-y)} \right) [k \rightarrow k_m]$$

$$= \int \frac{d^4 k}{(2\pi)^4} \frac{i e^{-ik(x-y)}}{k^2 - m^2 + i\epsilon} \left[ \sum_{j=0} p_j(m^2) \eta_{\mu\nu} + \sum_{j=1} p_j(m^2) (-\eta_{\mu\nu} k^2 + k_\mu k_\nu) \right]$$



k's off-shell



Källén-Lehmann T-ordered

$$(15) \langle 0 | T J_\mu(x) J_\nu(y) | 0 \rangle = \int_0^\infty dm^2 \int \frac{d^4 k}{(2\pi)^4} e^{-ik(x-y)} \frac{i}{k^2 - m^2 + i\epsilon} \left[ \sum_{j=0} p_j(m^2) \eta_{\mu\nu} + \sum_{j=1} p_j(m^2) (-\eta_{\mu\nu} k^2 + k_\mu k_\nu) \right]$$

Remark: it's a sum over free propagators with positive measure  $p_j(m^2) > 0$ .

Fourier transforming it

$$(16) \langle 0 | T J_\mu(p) J_\nu(q) | 0 \rangle = \int d^4 x e^{ipx} \int d^4 y e^{iqy} \cdot (15) = (2\pi)^4 \delta^4(p+q) \pi_{\mu\nu}(p)$$

$$(17) \pi_{\mu\nu}(p) = \int dm^2 \frac{i}{p^2 - m^2 + i\epsilon} \left[ \sum_{j=1} p_j(m^2) (-\eta_{\mu\nu} p^2 + p_\mu p_\nu) + \sum_{j=0} p_j(m^2) \eta_{\mu\nu} \right]$$

Källén-Lehmann T-ordered in momentum space

again, sum over  $m^2$  of free theory propagators

Now, using  $\frac{1}{x \pm i\epsilon} = \frac{P}{x} \mp i\pi \delta(x)$  and recalling the  $p_j$  are real (and positive) we can extract them

$$(18) \quad \frac{1}{\pi} \text{Im } i\pi_{\mu\nu}(p) = \rho_{j=1}(p^2) (-\eta_{\mu\nu} p^2 + p_\mu p_\nu) + \rho_{j=0}(p^2) p_\mu p_\nu$$

so that  $\rho_j$  is extracted by acting with projectors

$$(19) \quad \begin{cases} \frac{1}{p^4} (-\eta^{\mu\nu} p^2 + p^\mu p^\nu) \cdot \frac{1}{\pi} \text{Im}(i\pi_{\mu\nu}(p)) = \rho_{j=1}(p^2) \\ \frac{1}{p^4} p^\mu p^\nu \cdot \frac{1}{\pi} \text{Im}(i\pi_{\mu\nu}(p)) = \rho_{j=0}(p^2) \end{cases}$$

### Remarks:

- The (19) give an explicit way to calculate the spectral densities, calculate the imaginary parts of momentum space time-ordered 2-point functions, which in turn can be calculated via the optical theorem

$$\| \text{Im QED at one loop} \quad \text{diagram} \propto \int d^4(q) |M_{\mu\nu}^{\mu\nu}|^2 \propto \int_{\text{cut}} d^4(q) \quad \|$$

- If we had use (4) rather than (5), or not reabsorbed the  $1/m^2$  factors in  $\rho_j$ , we would obtained an expression that differs from (15) by local terms  $(a \eta_{\mu\nu} + b \partial_\mu \partial_\nu) \delta^4(x-y)$ , since  $\frac{p^2 - m^2}{p^2 - m^2 + i\epsilon} = 1$ . This is so because T-ordering is slightly ambiguous due to product of  $\delta$ -distributions,  $\theta(x^0 - y^0)$  and  $\theta(y^0 - x^0)$  with Wightman functions  $\langle 0 | T A_\mu A_\nu | 0 \rangle$ . Often this ambiguity is resolved by demanding extra conditions, such as Ward identities  $\partial_\mu \langle T j^\mu j^\nu \rangle = 0$  in QED which is indeed satisfied in (15). However, the  $\text{Im } \pi_{\mu\nu}$  which give rise to  $\rho_j$ 's is free of these ambiguities. From knowing  $\text{Im } \pi_{\mu\nu}$  one can reconstruct  $\pi_{\mu\nu}$  via dispersion relations, again leading into (17). The ambiguity in this case arise from the "number of subtractions" needed if  $\rho_j(m^2) \rightarrow 0$  too slowly.

[L3p7]

It's clear that the lessons here are general and not specific to the particular example of  $\langle T_{\mu\nu} J^{\mu\nu} \rangle$ . One could repeat it for  $\langle \phi T \phi \phi^\dagger \phi \rangle$ .

Let's move on now, and reconsider the wavefunction overlaps between fields acting on  $|0\rangle$  and massless states.

### Massless particles vs Fields

#### Vectors vs Massless helicity $h = \pm 1$

We take  $|k, \lambda = \pm 1\rangle_{m=0}$  &  $A_\mu$  and want to determine  $\langle 0 | A_\mu | 0 \rangle |k, \lambda = \pm 1\rangle_{m=0}$

We are going to see there is a clash between

(20) Lorentz-covariance vs triviality of ISO(2) translations  $\Rightarrow$  Gauge invariance (giving up exact covariance)

(21)  $U(\lambda) A_\mu(0) U^\dagger(\lambda) = (\Lambda^{-1})^\nu_\mu A_\nu(0)$   $W^{\pm, 2} |k, \lambda\rangle_{m=0} = 0$

(22)  $\langle 0 | A_\mu | 0 \rangle |k, \lambda = \pm 1\rangle_{m=0} = \sum_\mu^{\lambda=\pm 1} (\bar{k})^\mu \quad \bar{k}^\mu = \begin{pmatrix} E \\ 0 \\ 0 \\ E \end{pmatrix}$

(23)  $LG_{\bar{k}} = \text{ISO}(2) = \text{2D-translations} \cdot \text{Rotation}_2$

$\downarrow$   
 $\begin{cases} \exp(i\alpha_1 W^1 + i\alpha_2 W^2) \\ W^1 = (J^1 - K^2)/E \\ W^2 = (J^2 + K^1)/E \end{cases}$

$\downarrow$   
 $\exp(-iJ_3\theta)$

To show (20-21) are inconsistent with each other, let's assume them and see we run into contradiction.

Namely, let's take  $\Lambda^\mu_\nu = W^\mu_\nu \in \text{ISO}(2)$  element of  $LG_{\bar{k}}$

$$(24) \quad W^\mu_\nu = \exp(i\alpha_1 W^1 + i\alpha_2 W^2)^\mu_\nu \exp(-iJ^3\theta)^\mu_\nu \quad (J^i, K^i \text{ in 4-vector irrep})$$

Let's start first with z-rotation only,  $\Lambda^\mu_\nu = W^\mu_\nu = R^\mu_\nu = \exp(-iJ^3\theta)^\mu_\nu$

$$(25) \quad \epsilon^\lambda_\mu(\vec{k}) = \langle 0 | A_\mu | 0 \rangle | \vec{k} \lambda \rangle_{m=0} = \langle 0 | U(R_z) A_\mu | 0 \rangle \bar{U}(R_z) U(R_z) | \vec{k} \lambda \rangle_{m=0}$$

$\Downarrow$   
 $(R_z^{-1})^\nu_\mu A_\nu | 0 \rangle \quad | \vec{k} \lambda \rangle_{m=0} \exp(-i\lambda\theta)$

$$(26) \quad (R_z)_\mu^\nu \epsilon^\lambda_\nu(\vec{k}) = \epsilon^\lambda_\mu(\vec{k}) e^{-i\lambda\theta} \quad \text{eigenvalue eq. for } \epsilon^\lambda_{\mu=0}(\vec{k})$$

$$\Downarrow$$

$$J_{3\mu}^\nu \epsilon^\lambda_\nu(\vec{k}) = \lambda \epsilon^\lambda_\mu(\vec{k})$$

$\Downarrow$

$$\epsilon^\lambda_\mu(\vec{k}) = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ 1 \\ \pm i \\ 0 \end{pmatrix} \quad \parallel J_{3\mu}^\nu = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & -i & i \\ 0 & 0 & 0 \end{pmatrix}^\nu_\mu \parallel$$

Now that  $\epsilon^\lambda_\mu(\vec{k})$  are determined by z-rotations, let's see the effect of  $ISO(2)$ -translations:

$$(27) \quad W^\mu_\nu = \exp(i\alpha_i W^i)^\mu_\nu = \underbrace{\exp(i\alpha_i W^i)^\mu_\nu}_{T^\mu_\nu \text{ for } ISO(2)\text{-transp.}} = \underbrace{\exp(\bar{E}^\mu_\nu)}_{\substack{W^1 = (G^1 - K^1)\bar{E} \\ W^2 = (G^2 + K^1)\bar{E}}} \begin{pmatrix} 0 & \alpha_2 & -\alpha_1 & 0 \\ \alpha_2 & 0 & 0 & -\alpha_2 \\ -\alpha_1 & 0 & \alpha_1 & 0 \\ 0 & \alpha_2 & -\alpha_1 & 0 \end{pmatrix}^\mu_\nu$$

$\leftarrow K^2\text{-boost}$   
 $\leftarrow K^1\text{-boost}$   
 $\leftarrow J^1\text{rot.}$   
 $\leftarrow \text{annihilate } \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix}^\mu$   
 $\uparrow J^2\text{boost}$

We would like

$$(28) \quad \epsilon^\lambda_\mu(\vec{k}) = \langle 0 | A_\mu | 0 \rangle | \vec{k} \lambda \rangle_{m=0} = \langle 0 | U(T) A_\mu | 0 \rangle \bar{U}(T) U(T) | \vec{k} \lambda \rangle_{m=0}$$

$\Downarrow$   
 $(T^{-1})^\nu_\mu A_\nu | 0 \rangle \quad | \vec{k} \lambda \rangle_{m=0}$

$$(28) \quad \epsilon^\lambda_\mu(\vec{k}) = (T^{-1})^\nu_\mu \epsilon^\lambda_\nu(\vec{k}) \Rightarrow \bar{E}^\mu_\nu \begin{pmatrix} 0 & \alpha_2 & -\alpha_1 & 0 \\ \alpha_2 & 0 & 0 & -\alpha_2 \\ -\alpha_1 & 0 & \alpha_1 & 0 \\ 0 & \alpha_2 & -\alpha_1 & 0 \end{pmatrix}^\mu_\nu \epsilon^\lambda_\nu(\vec{k}) = 0$$

$\downarrow$   
 from  $U(W^i) | \vec{k} \lambda \rangle = 0$   
 + covariance of  $A_\mu$

But this is impossible since

[L3/p9]

$$(29) \quad \bar{F} \begin{pmatrix} 0 & \alpha_2 & -\alpha_1 & 0 \\ \alpha_2 & & & -\alpha_2 \\ -\alpha_1 & & 0 & \alpha_1 \\ 0 & \alpha_2 & -\alpha_1 & 0 \end{pmatrix}^\mu \vee \begin{pmatrix} 0 \\ 1 \\ \pm i \\ 0 \end{pmatrix}_{\sqrt{2}} = \frac{\bar{F}}{\sqrt{2}} \begin{pmatrix} \alpha_2 \mp i\alpha_1 \\ 0 \\ 0 \\ \alpha_2 \mp i\alpha_1 \end{pmatrix} = \frac{\alpha_2 \mp i\alpha_1}{\sqrt{2}} \bar{\pi}^\mu \neq 0$$

Or, going beyond linear order and exponentiating (29) (which is easy since  $T \cdot \bar{\pi} = 0$ )

$$(30) \quad T^\mu{}_\nu = \delta^\mu{}_\nu + \begin{pmatrix} \frac{\vec{\alpha}^2}{2} & \alpha_2 & -\alpha_1 & -\frac{\vec{\alpha}^2}{2} \\ \alpha_2 & & & -\alpha_2 \\ -\alpha_1 & & 0 & \alpha_1 \\ \frac{\vec{\alpha}^2}{2} & \alpha_2 & -\alpha_1 & -\frac{\vec{\alpha}^2}{2} \end{pmatrix}^\mu{}_\nu \quad \text{with } \vec{\alpha}^2 = \alpha_1^2 + \alpha_2^2$$

$$(31) \quad \boxed{\text{(Isd2)-trans}}^\mu{}_\nu \varepsilon^{\nu \lambda = \pm 1}(\bar{\pi}) = \varepsilon^{\mu \lambda = \pm 1}(\bar{\pi}) + \frac{\alpha_2 \mp i\alpha_1}{\sqrt{2}} \bar{\pi}^\mu \quad \text{in contradiction with (28)}$$

### Main Lesson:

- Lorentz-covariance incompatible with  $A_\mu$  creating  $h = \pm 1$  massless states
- However, the (31) suggests the simple fix: require Lorentz-covariance up to gauge transformations

$$(32) \quad \boxed{U(\Lambda) A^\mu(0) U(\Lambda)^{-1} = (\Lambda^{-1})^\mu{}_\nu (A^\nu(0) + \partial^\nu \Omega)}$$

Indeed, repeating previous steps for  $\Lambda^\mu{}_\nu = W^\mu{}_\nu = T^\mu{}_\rho R_\rho{}^\nu \in LG_{\bar{\pi}} = ISO(2)$

$$(33) \quad \varepsilon_\mu^\lambda(\bar{\pi}) = \langle 0 | A_\mu(0) | \bar{\pi} \lambda \rangle = (W^{-1})_\mu{}^\nu \left( \langle 0 | A^\nu(0) | \bar{\pi} \lambda \rangle_{m=0} + \langle 0 | \partial_\mu \Omega | \bar{\pi} \lambda \rangle_{m=0} \right) e^{-i\lambda\theta}$$

which on the  $\varepsilon_\mu^\pm(\lambda) \pm$ -eigenstates of  $J^3$  gives now

$$(34) \quad \underbrace{T_\mu{}^\nu \varepsilon_\nu^\lambda(\bar{\pi})}_{\equiv \varepsilon_\mu^\lambda(\bar{\pi}) + \frac{\alpha_2 \mp i\alpha_1}{\sqrt{2}} \bar{\pi}_\mu} e^{-i\lambda\theta} = \left( \varepsilon_\mu^\lambda(\bar{\pi}) - i \bar{\pi}_\mu \langle 0 | \Omega(0) | \bar{\pi} \lambda \rangle_{m=0} \right) e^{-i\lambda\theta}$$

which is now perfectly compatible since  $\langle 0 | \partial_\mu \Omega | \bar{\pi} \lambda \rangle_{m=0} \propto \bar{\pi}_\mu \langle 0 | \Omega | \bar{\pi} \lambda \rangle_{m=0}$

(this defines basically  $\langle 0 | \Omega(0) | \bar{\pi} \lambda \rangle_{m=0} = \frac{i}{\sqrt{2}} (\alpha_2 \mp i\alpha_1)$ )

Hence, we can now look at generic  $\epsilon_\mu^\lambda(k) = \langle 0 | A_\mu(0) | k \lambda \rangle_{m=0}$

recalling that  $|k \lambda \rangle_{m=0} = U(L(k, \pi)) | \bar{k} \lambda \rangle_{m=0}$

$$(35) \quad \epsilon_\mu^\lambda(k) = \langle 0 | A_\mu(0) | k \lambda \rangle_{m=0} = \int d\bar{k} \, \nu(\bar{k}, k) \left( \underbrace{\langle 0 | A_\nu(0) | \bar{k} \lambda \rangle}_{\epsilon_\nu^\lambda(\bar{k})} + \underbrace{\langle 0 | \partial_\nu \Omega | \bar{k} \lambda \rangle}_{\neq 0} \right)$$

$$\Downarrow L \cdot \bar{k} = k$$

$$(36) \quad \epsilon_\mu^\lambda(k) = \int d\bar{k} \, \nu(\bar{k}, k) \epsilon_\nu^\lambda(\bar{k}) + \neq k_\mu$$

Differ by constant  $\epsilon$   
 $\hookrightarrow$  a gauge transformation

Likewise, acting with generic  $\Lambda$

$$(37) \quad \epsilon_\mu^\lambda(k) = \langle 0 | A_\mu(0) | k \lambda \rangle_{m=0} = \langle 0 | \underbrace{U^\dagger(\Lambda) U(\Lambda)}_{(\Lambda^{\mu\nu})^\dagger(A, \partial_\nu \Omega)} A_\mu(0) \underbrace{U^\dagger(\Lambda) U(\Lambda)}_{|k \lambda \rangle} | k \lambda \rangle_{m=0} e^{-i\Lambda \Theta_\Lambda}$$

$$(38) \quad \Lambda_\mu^\nu \epsilon_\nu^\lambda(k) = \left( \epsilon_\mu^\lambda(k) + \neq (\Lambda \cdot k)_\mu \right) e^{-i\Lambda \Theta_\Lambda}$$

This is no surprise, after all, since  $\epsilon_\mu^\lambda(k) k^\mu = 0$  admits always

$$\epsilon_\mu^\lambda \sim k_\mu \text{ since } k^2 = 0$$

$$\left( \epsilon_\mu^\lambda(k) k^\mu = \epsilon_\mu^\lambda(k) L^\mu_\nu(k, \bar{k}) \bar{k}^\nu = (L^{-1})^\mu_\nu \epsilon_\mu^\lambda(k) \bar{k}^\nu = (\epsilon_\nu^\lambda(\bar{k}) + \neq \bar{k}_\nu) \bar{k}^\nu = \epsilon_\nu^\lambda(\bar{k}) \bar{k}^\nu = 0 \right)_{k^2=0}$$

### — Maxwell equations —

What we have seen implies (free) Maxwell equation,  $\langle 0 | \partial_\mu F^{\mu\nu} | k \lambda \rangle_{m=0} = 0$

as soon as  $\langle 0 | F_{\mu\nu} | k \lambda \rangle_{m=0} \neq 0$  for (any) 2-tensor antisymmetric  $F_{\mu\nu}$ .

Indeed,  $(*dF)^\sigma = \partial_\rho F_{\mu\nu} \epsilon^{\mu\nu\rho\sigma} = (1/2, 1/2)$  exactly — not just up to gauge —

$$(39) \quad \left\{ \begin{array}{l} \partial_\rho \langle 0 | F_{\mu\nu} | k \lambda \rangle_{m=0} \epsilon^{\mu\nu\rho\sigma} = 0 \\ \langle 0 | F_{\mu\nu} | k \lambda \rangle_{m=0} = \partial_{[\mu} \langle 0 | A_{\nu]} | k \lambda \rangle_m \propto k_{[\mu} \epsilon_{\nu]}^\lambda \Rightarrow \partial_\mu \langle 0 | F^{\mu\nu} | k \lambda \rangle \propto k^2 \epsilon_\nu^\lambda - k_\nu (k \cdot \epsilon) = 0 \end{array} \right.$$

Maxwell eq. can't be changed



—  $h_{\mu\nu} = |0,0\rangle \oplus |1,1\rangle$  & gravitons —

Q: can a symmetric lorentz 2-tensor  $h_{\mu\nu}$  interpolate a massless  $|1|=2$  state?

A: No, only if  $h_{\mu\nu}$  is 2-tensor up to gauge transformations (linear diff's)

It's enough to repeat the reasoning of  $A_\mu$ , now on each lorentz index

$$(40) \quad \mathcal{E}_{\mu\nu}^{\lambda=\pm 2}(\bar{k}) = \langle 0 | h_{\mu\nu} | 0 \rangle | \bar{k} \lambda = \pm 2 \rangle = \langle 0 | U(R_2) h_{\mu\nu} | 0 \rangle U(R_2^{-1}) U(R_2) | \bar{k} \lambda \rangle_{m=0} \quad \bar{k}^\mu = \bar{E} \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

$$= (R_2^{-1})_\mu^\rho (R_2^{-1})_\nu^\sigma \langle 0 | h_{\rho\sigma} | 0 \rangle | \bar{k} \lambda \rangle_{m=0} e^{-i\lambda\theta}$$

$$(41) \quad (R_2^{-1})_\mu^\rho (R_2^{-1})_\nu^\sigma \mathcal{E}_{\rho\sigma}^{\lambda=\pm 2}(\bar{k}) = \mathcal{E}_{\mu\nu}^{\lambda=\pm 2} e^{-i\lambda\theta} \Big|_{\lambda=\pm 2} \Rightarrow \begin{cases} \mathcal{E}_{\mu\nu}^{\lambda=+2}(\bar{k}) = \mathcal{E}_\mu^{\lambda=+1}(\bar{k}) \mathcal{E}_\nu^{\lambda=+1}(\bar{k}) \\ \mathcal{E}_{\mu\nu}^{\lambda=-2}(\bar{k}) = \mathcal{E}_\mu^{\lambda=-1}(\bar{k}) \mathcal{E}_\nu^{\lambda=-1}(\bar{k}) \end{cases}$$

(notice that  $\mathcal{E}_\mu^{\lambda=0} = 0$  since  $R_\mu^\rho R_\nu^\sigma \eta^{\mu\nu} = \eta^{\rho\sigma}$  i.e.  $\mathcal{E}_\mu^\lambda = \xi^\lambda e^{-i\lambda\theta}$ )  
the trace would not vanish if we were interested in  $\lambda=0$  ( $\mathcal{E}_\mu^\lambda = 0$ )

But then, performing now 2D-translation, in  $L_{\bar{k}=Dd}$  like before we clash

$$(42) \quad T_\mu^\rho T_\nu^\sigma \mathcal{E}_{\rho\sigma}^{\lambda=\pm 2}(\bar{k}) = \mathcal{E}_{\mu\nu}^{\lambda=\pm 2}(\bar{k}) \quad \text{vs} \quad \begin{cases} \mathcal{E}_{\mu\nu}^\lambda(\bar{k}) = \mathcal{E}_\mu^\lambda(\bar{k}) \mathcal{E}_\nu^\lambda(\bar{k}) \\ T_\mu^\nu \mathcal{E}_\nu^\lambda(\bar{k}) = \mathcal{E}_\mu^\lambda + \# \bar{k}_\mu \end{cases}$$

incompatible

$$(43) \quad T_\mu^\rho T_\nu^\sigma \mathcal{E}_{\rho\sigma}^\lambda(\bar{k}) = \mathcal{E}_\mu^\lambda(\bar{k}) + \# \bar{k}_\mu \mathcal{E}_\nu^\lambda(\bar{k}) + \# \bar{k}_\mu \bar{k}_\nu$$

Like before, the solution is making  $h_{\mu\nu}$  2-tensor up to gauge transformations

$$(44) \quad U(\Lambda) h_{\mu\nu} | 0 \rangle U(\Lambda^{-1}) = (\Lambda^{-1})_\mu^\rho (\Lambda^{-1})_\nu^\sigma (h_{\rho\sigma} | 0 \rangle + \partial_\rho \Omega_\sigma)$$

2-tensor up to gauge (linearized diff's)

since (42) gets replaced by

$$(45) \quad T_\mu^\rho T_\nu^\sigma \mathcal{E}_{\rho\sigma}^{\lambda=\pm 2}(\bar{k}) = \mathcal{E}_{\mu\nu}^{\lambda=\pm 2} + \langle 0 | \partial_\mu \Omega_\nu | \bar{k} \lambda \rangle_{m=0}$$

Main lesson: We see emergence of GR, from consistency of quantum theory with  $m=0, |1|=2$  (graviton) in the spectrum.  $\hookrightarrow$  this can match (43) for  $\Omega_\nu = A_\nu + \partial_\nu \Omega$

# Massless $\lambda = -1/2$ particle vs $(\frac{1}{2}, 0) - \psi_\alpha$ Field

We would like to show now there is no need or emergence of gauge invariance for helicity  $\pm 1/2$  fermions which are interpolated by  $(1/2, 0)$  or  $(0, 1/2)$  fields. For concreteness, let's focus on  $\lambda = -1/2$  and  $\psi_\alpha = (1/2, 0)$ :

$$(46) \quad \langle 0 | \psi_\alpha(0) | \bar{\pi} \lambda = -1/2 \rangle_{m=0} \equiv u_\alpha^\lambda(\bar{\pi}) \stackrel{?}{=} \quad \bar{\pi}^\mu = \bar{E} \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

Since  $j_4 = 0 \Rightarrow \vec{J} = \vec{J}_- = \frac{\vec{\sigma}}{2} \quad \vec{K} = i \frac{\vec{\sigma}}{2}$

$$(47) \quad \begin{aligned} W^1 &= \bar{E} (J^1 - K^2) = \frac{\bar{E}}{2} \left( \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} - \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \right) = \bar{E} \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \\ W^2 &= \bar{E} (J^2 + K^1) = \frac{\bar{E}}{2} \left( \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} + \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} \right) = \bar{E} \begin{pmatrix} 0 & 0 \\ i & 0 \end{pmatrix} \end{aligned} \quad \left. \vphantom{\begin{aligned} W^1 \\ W^2 \end{aligned}} \right\} \begin{aligned} W_+ &= W^1 + i W^2 = 0 \\ W_- &= W^1 - i W^2 = \begin{pmatrix} 0 & 0 \\ 2 & 0 \end{pmatrix} \bar{E} \end{aligned}$$

The constraint from rotations give,  $\langle 0 | \psi_\alpha(0) | \bar{\pi} \lambda \rangle_{m=0} = (R_4^\lambda)^\beta \langle 0 | \psi_\beta(0) | \bar{\pi} \lambda \rangle_{m=0} e^{-i\lambda\theta}$  i.e.

$$(48) \quad \frac{\sigma^3}{2} u_\beta^\lambda(0) = \lambda u_\beta^\lambda(0) \Rightarrow \lambda = \pm 1/2$$

$u_\alpha^{-1/2} \propto \begin{pmatrix} 0 \\ 1 \end{pmatrix} \quad u_\alpha^{+1/2} \propto \begin{pmatrix} 1 \\ 0 \end{pmatrix}$

from rotation alone it seems both helicity would be allowed, but  $\Delta$

Then, demanding the triviality of  $ISO(2)$ -translations  $T_\alpha^\beta = \exp(i\alpha_+ W_- + i\alpha_- W_+)$

$$(49) \quad W_{\pm\alpha}^\beta u_\beta^\lambda = 0 \Rightarrow \lambda = +1/2 \text{ forbidden } (W_- u^{+1/2} \neq 0)$$

- Lesson:
- $\psi_\alpha = (1/2, 0)$  can interpolate ("destroy") only  $\lambda = -1/2$   $m=0$  states
  - $\chi^\alpha = (0, 1/2)$  can interpolate ("destroy") only  $\lambda = +1/2$   $m=0$  states

(this second point: either repeat analysis or remember  $u_\alpha^* \varepsilon^{\beta\alpha} = (0, 1/2) = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \propto \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ . Both  $(1/2, 0)$  and  $(0, 1/2)$  transform the same way under rotations:  
 $\frac{\sigma^3}{2} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = +\frac{1}{2} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \Rightarrow \lambda = +1/2$ )

Q: What is the covariant constraint?

L3/p3

Weyl equation

$$(50) \quad \bar{\kappa}^\mu \bar{\sigma}_\mu = \bar{E} (\sigma^0 + \sigma^3) = \bar{E} \begin{pmatrix} 2 & 0 \\ 0 & 0 \end{pmatrix} \Rightarrow \bar{\kappa}^\mu \bar{\sigma}_\mu \cdot U(\bar{\kappa})^{-1/2} = 0 \Rightarrow \boxed{\bar{\kappa}^\mu \bar{\sigma}_\mu U(\bar{\kappa})^{-1/2} = 0}$$

Like Maxwell, Klein-Gordon also Weyl equation can't be changed: it just expresses the "kinematical" constraint from Poincaré.

$$\begin{aligned} \parallel u_\alpha^\lambda(\bar{\kappa}) &= \langle 0 | \psi_\alpha(0) | \bar{\kappa} \lambda \rangle_{m=0} = \langle 0 | \psi_\alpha(0) U(L(\bar{\kappa}, \bar{\kappa})) | \bar{\kappa} \lambda \rangle_{m=0} = \Lambda_\alpha^\beta(L(\bar{\kappa}, \bar{\kappa})) u_\beta^\lambda(\bar{\kappa}) \\ \Rightarrow \bar{\kappa}^\mu \bar{\sigma}_\mu \cdot u^\lambda(\bar{\kappa}) &= \bar{\kappa}^\mu \bar{\sigma}_\mu \cdot \Lambda_L \cdot u^\lambda(\bar{\kappa}) = \bar{\kappa}^\mu \Lambda_R \Lambda_L^\dagger \bar{\sigma}_\mu \Lambda_L u^\lambda(\bar{\kappa}) = \Lambda_R \bar{\kappa}^\mu \Lambda_{\mu\nu} \bar{\sigma}_\nu u^\lambda(\bar{\kappa}) \\ &= \Lambda_R \bar{\kappa}^\mu \bar{\sigma}_\mu u^\lambda(\bar{\kappa}) = 0 \quad \parallel \end{aligned}$$

General fields: a truly Lorentz-covariant  $\psi_A^{(j_+ - j_-)}$  has non-vanishing overlap with  $m=0$   $\lambda$ -helicity iff  $\lambda = j_+ - j_-$ .  
However, one can easily get around it via gauge invariance

Example:  $\psi_{\mu\alpha} = (1/2, 1/2) \otimes (1/2, 0)$  vs  $\lambda = -3/2$

Since  $(1/2, 1/2) \otimes (1/2, 0) = (1, 1/2) \oplus (0, 1/2)$

right-handed =  $\bar{\sigma}_\mu^{\dot{\alpha}\alpha} \psi_\alpha^\mu$

Defining the wavefunction as

$$u_{\mu\alpha}^\lambda(\bar{\kappa}) = \langle 0 | \psi_{\mu\alpha}(0) | \bar{\kappa} \lambda \rangle \rightarrow \bar{\sigma}^{\mu\dot{\alpha}\alpha} u_{\mu\alpha}^\lambda(0) = 0$$

$$\begin{aligned} (\bar{\sigma}^{\mu\dot{\alpha}\alpha} \langle 0 | \psi_{\mu\alpha}(0) | \bar{\kappa} \lambda \rangle) &= \bar{\sigma}^{\mu\dot{\alpha}\alpha} \langle 0 | U(R_z) \psi_{\mu\alpha}(0) U(R_z^\dagger) | \bar{\kappa} \lambda \rangle e^{-i\lambda\theta} = \\ &= \bar{\sigma}^{\mu\dot{\alpha}\alpha} \langle 0 | \psi_{\mu\alpha}(0) | \bar{\kappa} \lambda \rangle \cdot \exp(i\theta(\frac{1}{2} - \lambda)) \quad \text{and } \lambda = -3/2 \neq 1/2 \end{aligned}$$

While the  $\lambda = -3/2$  is associated to

$$\langle 0 | \psi_{\mu\alpha}(0) | \bar{\kappa} \lambda \rangle_{m=0} = \bar{\epsilon}_\mu^{-1} u_\alpha^{-1/2} \quad \text{and its gauge invariance } \bar{\epsilon}_\mu \rightarrow \bar{\epsilon}_\mu + \bar{\kappa}_\mu$$

that give rise to  $\psi_{\mu\alpha} \rightarrow \Lambda_\mu^\nu \Lambda_\alpha^\beta (\psi_{\nu\beta} + \partial_\nu \Omega_\beta)$  with  $\Omega_\beta$  a left-handed fermion.